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Purpose, Authority, and Accountability

Dental hygienists have been granted the privileged status of a health professional. The College of Registered Dental Hygienists of Alberta (CRDHA) Code of Ethics is a set of principles of professional conduct which guides all dental hygienists and establishes the expectations for dental hygienists in fulfilling duties to their clients, to the public, and to the profession. The Code of Ethics affirms and clarifies principles that are definitive to the professional and ethical provision of dental hygiene services.

Purpose
The purpose of the Code of Ethics is to:

- elaborate the ethical principles and standards by which dental hygienists are guided and under which they are accountable
- serve as a resource for education, reflection, self-evaluation and peer review
- educate the public about the ethical principles and standards of the profession and promote accountability

By elaborating on the profession’s ethical principles and standards, the Code of Ethics promotes accountability and ensures the public’s trust. The Code of Ethics is binding on all members in all practice settings including, but not limited to, private practice, institutions, research, education, administration, community health and industry. Violations of the Code of Ethics are considered unprofessional conduct and may result in professional conduct proceedings under the Health Professions Act. The Code of Ethics, by its very nature cannot be a complete articulation of all ethical obligations. In resolving ethical issues, dental hygienists shall consider ethical principles, the client’s needs and interests, professional standards, workplace policies, laws and regulations that guide practices and behaviours.

Principles
This Code of Ethics contains the minimum mandatory requirements for dental hygienists and expresses the values shared by dental hygienists and the dental hygiene profession of Alberta.

There are six fundamental principles that form the foundation of the Code of Ethics. Each principle is further defined by statements that clarify its application and provide more direct guidance.

The fundamental principle that underlies this Code of Ethics is that the dental hygienist’s primary responsibility is to the client, whether the client is an individual, family, group, community, or organization.
Summary of the Ethical Principles in the Code

Principle 1: Beneficence
Beneficence is the ethical principle of doing good. Dental hygiene services shall expressly be intended to result in an improvement in the client’s condition. Dental hygienists use their knowledge and skills to assist clients to achieve and maintain optimal oral health.

Principle 2: Veracity and Integrity
Veracity is the ethical principle of honesty. Integrity relates to consistency of actions, values, methods, expectations and outcomes. Dental hygienists must be truthful and forthright at all times and in all professional matters.

Principle 3: Autonomy and Informed Choice
Autonomy pertains to the right to make one’s own choices. Dental hygienists assist clients in making informed choices by communicating relevant information openly and truthfully. “Informed choice” encompasses “informed consent”. The client makes the final decision on choice of service and on choice of practitioner to perform the service.

Principle 4: Privacy and Confidentiality
Privacy pertains to the individual’s right to decide the conditions under which others will be permitted access to his or her personal information. Confidentiality is the duty to protect and hold secret information acquired in the professional relationship. Dental hygienists respect the privacy of clients and hold in confidence verbal, written and electronically transmitted client information except as required by law or as authorized by the client.

Principle 5: Accountability
Accountability pertains to the acceptance of responsibility for one’s actions and omissions. Dental hygienists practice competently and professionally in conformity with relevant principles, professional standards, workplace policies, laws, and regulations and accept responsibility for their behaviour and decisions.

Principle 6: Professionalism
Professionalism is the commitment to use and advance professional knowledge and skills to serve the client and the public good.
Principle 1: Beneficence

Beneficence is the ethical principle of doing good. Dental hygiene services shall expressly be intended to result in an improvement in the client’s condition. Dental hygienists use their knowledge and skills to assist clients to achieve and maintain optimal oral health.

Dental hygienists:

1.1 provide services to their clients in a caring and respectful manner recognizing the inherent dignity of human beings;

1.2 provide oral health care services to their clients through the delivery of quality care in a safe, competent and timely fashion;

1.3 provide services to their clients with respect for their individual needs, values and life circumstances;

1.4 provide services fairly and without discrimination, in recognition of fundamental human rights and in compliance with applicable human rights laws;

1.5 put the needs, values and interests of their clients first and avoid exploiting their clients for personal gain;

1.6 maintain a therapeutic and professional client-dental hygienist relationship;

1.7 consider all the determinants of health that may influence the achievement of the goal of optimal oral health such as biology and genetic endowment, culture, education, employment/working conditions, sex, gender, healthy child development, health services, lifestyle, income and social status, personal health practices and coping skills, physical environments, social support networks and social environments;

1.8 promote equitable access to quality oral health care and health care resources;

1.9 seek to improve the quality of care and advance knowledge in the field of oral health through such activities as quality assurance, research, education and advocacy in the public arena;

1.10 are familiar with the signs of client abuse and will report suspected client abuse cases to the proper authorities in compliance with applicable laws;

1.11 promote oral health and its relationship to overall health and wellness.
Principle 2: Veracity and Integrity

Veracity is the ethical principle of honesty. Integrity relates to consistency of actions, values, methods, expectations and outcomes. Dental hygienists must be truthful and forthright at all times and in all professional matters.

Dental hygienists:

2.1 will be truthful and forthright in all dealings with the CRDHA, its officials, representatives, agents and committees;

2.2 provide clients with full and accurate explanation and professional opinion concerning their oral health in a manner consistent with the clients’ ability to understand the information being given;

2.3 disclose to clients any direct or indirect financial interest he/she may have in any professional service facility separate from the office where dental hygiene care is being provided; e.g., a dental hygienist also works at or owns a teeth whitening service which the dental hygienist refers clients to for treatment;

2.4 separate other business or non-dental hygiene enterprises so that there is no intentional or unintentional implication that the services being offered in the other business or non-dental hygiene enterprise are being provided by a dental hygienist or as part of the practice of dental hygiene;

2.5 represent the nature and costs of professional services fairly and accurately:

2.5.1 charge clients for only those professional services that have actually been performed. Dental hygienists must not charge clients for professional services, procedures or products that have no oral health benefit or diagnostic value;

2.5.2 accurately submit all claims for reimbursement for professional services performed. All claims for client care will be accurate statements of the services rendered and fees charged to the client;

2.6 accurately represent their earned academic credentials, qualifications and competence, subject to any limitations contained in this Code of Ethics;

2.7 who sell or market oral health products to their clients will not misrepresent or exaggerate the value of the products;

2.8 acknowledge that advertising is a tool that is intended to inform the public and promote the practice and services of dental hygienists in an ethical, truthful and evidence based manner;

2.9 will conduct any advertising and promotional activity, including the use of social networks and other electronic media, in accordance with applicable legislation, the Code of Ethics, and acceptable professional standards. Dental hygienists:
2.9.1 shall not make a representation to the public, through advertisement, promotional activity, endorsement, inducement or otherwise,

2.9.1.1 that is, in any respect, false, inaccurate, misleading, not objectively verifiable, contrary to the public interest or harmful to the integrity of the profession of dental hygiene;

2.9.1.2 that creates fear, takes advantage of client insecurities, misstates or exaggerates potential health benefits of any service or product or creates an unreasonable expectation as to the results that will be achieved by using any service or product;

2.9.1.3 that contains claims, comments, comparisons, criticisms, misinformation or unverifiable information of or about other health professionals or professions;

2.9.1.4 that contains claims about material, equipment, services or techniques that represent or imply that the material, equipment, services or techniques are superior in quality to those of other dental professionals, implies that superior results can be achieved or that superior dental hygiene care is provided;

2.9.2 shall ensure that any published or advertised fees are not, in any respect, false, inaccurate, misleading, unverifiable, contrary to the public interest or harmful to the integrity of the profession of dental hygiene. Any published or advertised fees must:

2.9.2.1 be precise as to the services offered for each fee quoted;

2.9.2.2 not refer to minimum or sliding fees;

2.9.2.3 state whether other amounts, such as disbursements and taxes, will be charged in addition to the fee; and

2.9.2.4 be strictly adhered to in every applicable case, unless the client has expressly agreed, in advance, to any additional fees in excess of the published or advertised fees;

2.9.3 who advertise or publish any fees for a specific service shall:

2.9.3.1 ensure that the advertisement or publication sufficiently describes the fees and services so as to enable the client or prospective client to understand the nature and extent of the services to be provided and the cost to the client; and

2.9.3.2 not compare the fees charged by the dental hygienist with those charged by another dental hygienist, dental hygiene practice, or other health professional unless details of such comparison can be explicitly verified;

2.9.4 shall not use client testimonials, before and after photographs or any other written or visual testimonials to advertise or promote dental hygiene services;

2.9.5 shall not use coupons, special offers or time limited offers as advertising or promotional materials or otherwise advertise on discount websites;
2.9.6 shall not accept any inducement or benefit for referring another person to any other health professional for the provision of any service or product, whether or not it is medically or dentally necessary;

2.9.7 shall not recommend or endorse any product or service of another party that the dental hygienist uses or otherwise is familiar with, unless the dental hygienist:

2.9.7.1 has sufficient knowledge or expertise to make an informed and considered assessment of the product or service;

2.9.7.2 can establish, with objective, scientific evidence, grounds for the endorsement;

2.9.7.3 ensures that the endorsement does not create an unreasonable expectation as to the results that will be achieved by using the product or service;

2.9.7.4 discloses any benefit that he or she has received for the endorsement;

2.9.7.5 ensures the endorsement does not make unfavourable reflections on the competence or integrity of any dental hygienists, any other health professional or the dental hygienists profession;

2.9.8 shall not refer to courses, continuing competence programs or dental hygiene awards or designations in their advertising, other than their dental hygiene program of study, except in the following circumstances:

2.9.8.1 dental hygienists may refer to active CRDHA authorizations to perform restricted activities and may refer to advanced training or courses that have been specifically approved, in advance, by the CRDHA Registrar for use in advertising;

2.9.9 shall not use any name to promote or describe their practice, whether a trade name or the name of individuals or other entities, unless the name:

2.9.9.1 is not misleading;

2.9.9.2 is not confusing;

2.9.9.3 does not contain words, terms or other descriptors that are not objectively verifiable;

2.9.9.4 does not state or imply that the dental hygienist provides a unique health service;

2.9.9.5 does not state or imply superiority over any other dental professional or profession;

2.9.9.6 does not state or imply that any dental hygienist is a specialist in providing any health service; and

2.9.9.7 does not otherwise harm the integrity of the profession of dental hygiene.
Principle 3: Autonomy and Informed Choice

Autonomy pertains to the right to make one’s own choices. Dental hygienists assist clients in making informed choices by communicating relevant information openly and truthfully. “Informed choice” encompasses “informed consent”. The client makes the final decision on choice of treatment and on choice of practitioner to perform the treatment.

Dental hygienists:

3.1 actively involve clients in their oral health care and promote informed choice by communicating relevant information openly, truthfully and in recognition of the client’s needs, values and capacity to understand:

3.1.1 This information includes, but is not limited to: informing the client of their treatment options including the advantages and disadvantages; significant risks and cost; and whether it is appropriate to consider a referral to another health care provider;

3.2 recommend or provide only those services they believe are necessary for the client’s oral health or as consistent with the client’s informed choice;

3.3 in the case of clients who lack capacity to make an informed choice, actively involve and promote informed choice on the part of the client’s agent, involving the client to the extent of the client’s capacity;

3.4 do not rely on coercion or manipulative tactics in assisting the client to make informed choices;

3.5 honour and respect the client’s informed choices, including refusal of treatment;

3.6 regard informed choice as a precondition of initiating dental hygiene treatment;

3.7 inform the client if the proposed oral health care treatment techniques or products are not generally recognized or accepted by the dental hygiene profession, and why;

3.8 have the right to refuse to perform or provide services requested by the client that are not generally recognized or accepted by the profession.
**Principle 4: Privacy and Confidentiality**

Privacy pertains to the individual’s right to decide the conditions under which others will be permitted access to his or her personal information. Confidentiality is the duty to protect and hold secret information acquired in the professional relationship. Dental hygienists respect the privacy of clients and hold in confidence verbal, written and electronically transmitted client information except as required by law or as authorized by the client.

Dental hygienists:

4.1 demonstrate regard for the privacy of their clients;

4.2 comply with all applicable privacy legislation and regulations;

4.3 promote practices, policies and information systems designed to respect client privacy and confidentiality;

4.4 understand and take appropriate steps to mitigate the potential risk of compromising privacy and confidentiality when connecting with clients through social networks or other electronic media;

4.5 hold information acquired in the professional relationship confidential and do not use or disclose it to others without the client’s express consent, except;

4.5.1 as required by law:

4.5.2 where there is significant risk of serious harm to the client or other persons;

4.5.3 in an emergency situation;

4.5.4 to the legal guardian or agent of a client, as allowed by law;

4.5.5 to others within the practice environment as required by the policy of the practice environment (e.g. quality assurance);

4.6 disclose to others only as much information as is necessary to accomplish the purpose for the disclosure;

4.7 may infer the client’s consent to disclosure to others directly involved in administering services for the purposes of providing continuity of care to the client, provided there is no reason to believe the client would not give express consent if asked;

4.8 obtain the client’s express consent to use or share information about the client for the purpose of teaching or research;

4.9 will release information in client records or reports to the client or to whomever the client directs, including other professionals and oral health care plan carriers;

4.9.1 This obligation exists regardless of the state of the client’s account.
Principle 5: Accountability

Accountability pertains to the acceptance of responsibility for one’s actions and omissions. Dental hygienists practice competently and professionally in conformity with relevant principles, professional standards, laws, and regulations and accept responsibility for their behaviour and decisions.

Dental hygienists:

5.1 accept responsibility for knowing and complying with the principles, standards, laws and regulations to which they are subject and under which they are accountable;

5.2 promote workplace practices and policies that facilitate professional practice in accordance with the principles, standards, laws and regulations to which they are subject and under which they are accountable;

5.3 practice solely within the bounds of their competence, scope of practice, personal and/or professional limitations and refer clients who require care outside these bounds to another health care provider;

5.4 accept responsibility for providing safe, quality and competent care. This includes, but is not limited to, addressing issues in the practice environment within the dental hygienist’s capacity that may hinder or impede the provision of such care;

5.5 issue prescriptions only if they hold a Prescriber’s Identification (ID) number;

5.6 take appropriate action to ensure first and foremost the client’s safety and quality of care when they believe that another dental hygienist has acted unethically or has displayed a lack of competence, knowledge, skill or judgment in the provision of dental hygiene services:

5.6.1 If a dental hygienist believes another dental hygienist acted unethically or has displayed a lack of competence, knowledge, skill or judgment in the provision of dental hygiene services, the dental hygienist has an obligation to report to the Complaints Director of the CRDHA in accordance with the guidelines set out in Appendix B;

5.6.2 If a dental hygienist believes another health professional has acted unethically or has displayed a lack of competence, knowledge, skill or judgment in the provision of professional services, the dental hygienist has an obligation to report to the Complaints Director of the related College in accordance with the guidelines set out in Appendix B;

5.7 who have undertaken the care of a client in an independent dental hygiene practice shall not discontinue that care without first giving the client sufficient notice and shall endeavour to arrange for continuity of care;
5.8 may assign duties to those under their supervision in compliance with any applicable principles, standards, laws and regulations:

5.8.1 The dental hygienist must be satisfied with the competencies and abilities of the person to whom duties are assigned, and will provide appropriate supervision;

5.9 inform the CRDHA when an injury, dependency, infection, condition, or any other incapacity has immediately affected, or may affect over time, their continuing ability to practice safely and competently;

5.10 disclose adverse events and take all necessary actions according to established principles, standards, laws and regulations to minimize harm arising from adverse events and to prevent recurrence.
Principle 6: Professionalism

Professionalism is the commitment to use and advance professional knowledge and skills to serve the client and the public good.

Dental hygienists:

6.1 uphold the principles, standards, laws and regulations of the profession before clients, colleagues, and others;

6.2 maintain and advance their knowledge and skills in dental hygiene through continuing professional education;

6.3 maintain and advance the quality of services they provide through ongoing self-evaluation and quality assurance;

6.4 have a duty to apply the professional knowledge, skills, attitudes and judgements necessary to perform competently in all client assessments and services, in accordance with currently accepted professional standards;

6.5 advance general knowledge and skills in the field of oral health by supporting, participating in or conducting ethically-approved research;

6.6 are encouraged to participate in professional activities such as meetings, committee work, peer review, and participation in public forums to promote oral health;

6.7 participate in mentoring, education and dissemination of knowledge and skills in oral health care;

6.8 inform employers about the principles, standards, laws and regulations to which dental hygienists are accountable;

6.9 consult and collaborate with colleagues and other health professionals in a cooperative, constructive and respectful manner;

6.10 consult and collaborate with colleagues, other health professionals and experts as necessary;

6.11 utilize professionally recognized titles, abbreviations and initials in accordance with applicable laws and regulations:

6.11.1 A Regulated Member may indicate that he or she holds other University or College degrees;

6.11.2 A Regulated Member on the general register or the courtesy register:

6.11.2.1 may use the protected titles as set out in the Dental Hygienists Profession Regulation from time to time, including dental hygienist, registered dental hygienist, RDH and DH;
6.11.2.2 may use the words “registered”, “regulated” and “regulated health professional”;

6.11.2.3 shall not use the term “specialist” or hold himself or herself out to be a specialist in connection with providing any dental hygiene service;

6.11.2.4 shall not use the title “Doctor” or the abbreviation “Dr.” when providing clinical dental hygiene services unless the member holds a doctorate degree in dental hygiene from a program approved by Council;

6.12 will not prescribe drugs for themselves;

6.13 may prescribe drugs for family members only when needed specifically for oral health treatment;

6.14 support the work of the CRDHA and dental hygiene professional associations to promote oral health and professional practice;

6.15 cooperate and comply, in a timely fashion, with the requests of the CRDHA, its officials, representatives, agents and committees, to enable them to fulfill their legislated responsibilities;

6.16 shall objectively verify all statements made in any advertisement promptly after a request for verification is made by CRDHA or any of its authorized representatives;

6.17 retain a copy or recording of every advertisement or publication published or distributed on the dental hygienist’s behalf for a minimum period of two years after the date of its last publication or distribution.
Appendix A: Ethical Challenges/Problems

No code of ethics can be expected to resolve definitively all ethical challenges or problems that may arise in practice. The analysis below is intended to help dental hygienists understand the nature of ethical challenges or problems and thereby to better resolve them.

The Code of Ethics helps dental hygienists identify, work through and put into words ethical issues and to decide upon an ethically responsible course of action. It is important to realize that some challenges or problems are perceived to be primarily ethical in nature when in fact they arise less from conflicting principles than from poor communications or lack of information. Reflection upon a perceived challenge or problem in light of the Code of Ethics can help determine to what extent it is truly rooted in conflicting ethical principles and to what extent it can be resolved by improved communication or new information.

**Ethical challenges** or problems faced by practicing dental hygienists tend to fall into the categories of ethical violations, ethical dilemmas and ethical distress. Ethical reflection and judgement are essential to determine how a particular principle or responsibility applies in a particular situation.

1. **Ethical violations** occur when dental hygienists fail to meet or neglect their specific ethical responsibilities as expressed in the Code of Ethics.

   An example would be a dental hygienist who recommends unnecessary treatment in order to achieve personal gain at the expense of the client.

2. **Ethical dilemmas** occur when ethical reasons both for and against a particular course of action are present, and one option must be selected. Ethical dilemmas also arise when there is conflict among two or more principles.

   An example would be if a client with an artificial heart valve refuses to be premedicated prior to receiving invasive dental hygiene treatment. In this case, the principle of autonomy and informed choice conflicts with the principle of beneficence.

3. **Ethical distress** occurs when dental hygienists experience constraints or limitations in relation to which they are or feel powerless and which compromise their ability to practice in full accordance with their professional principles or standards. Ethical distress often arises in situations where the dental hygienist is significantly limited by factors beyond his or her immediate control that may not be resolvable in the specific context.

   An example of ethical distress would be if a dental hygienist is expected by the employer to complete dental hygiene treatment with insufficient time to render quality care or to provide an acceptable level of infection control.
The Code of Ethics provides clear direction for avoiding ethical violations. When a course of direction is mandated by a principle in the Code of Ethics where there exists no opposing principle, ethical conduct requires that course of action.

In the case of ethical dilemmas and ethical distress, the Code of Ethics cannot always provide a clear direction. The resolution of dilemmas often depends on the specific circumstances of the case in question. Resolution may also depend upon which opposing ethical principle is considered to be most important, a matter about which reasonable people may disagree. Total satisfaction by all parties involved may not be achieved. More than one proposed intervention may be ethical and reflective of good practice. Constructive discussion can be extremely helpful in the resolution of ethical issues. Where appropriate, clients, colleagues, other health professionals, the CRDHA and other experts can be included in ethical issue discussions.

In all cases, dental hygienists are accountable for how they conduct themselves in professional practice. Even in situations of ethical dilemma or distress where the Code of Ethics does not prescribe a specific course of action, the dental hygienist can be expected to give an account of his/her chosen action in light of the principles and responsibilities expressed in the Code of Ethics. Ultimately, dental hygienists must reconcile their actions with their consciences in caring for clients.
Appendix B: Reporting Suspected Incompetence or Unethical Conduct

The first consideration of a dental hygienist who believes that another dental hygienist or health care professional has acted unethically or has displayed a lack of competence, knowledge, skill or judgment in the provision of professional services is the welfare of present clients and/or potential harm to future clients. In addressing such situations, adherence to the following guidelines could be helpful:

1. Confirm the facts of the situation to the best of your ability.

2. Ensure you are familiar with existing protocols in the practice setting for reporting incidents in which you believe a dental hygienist or other health care professional has acted unethically or has displayed a lack of competence, knowledge, skill or judgment in the provision of professional services. Follow those protocols.

3. Document and report issues that cannot be resolved within the practice setting and report to the Complaints Director of the appropriate regulatory college.

A dental hygienist who genuinely and in good faith attempts to protect clients who may be threatened by unethical, incompetent or unskilled conduct should not be placed in jeopardy (e.g. loss of employment).
Appendix C: Framework for Ethical Decision Making

Guidance Regarding the Process for Resolving Ethical Challenges

Ethical problems or challenges arise in a variety of contexts and require thoughtful analysis and careful judgement. The following guide may be useful to assist dental hygienists faced with an ethical challenge, recognizing that other stakeholders may need to be involved in resolving the matter. Talking with or getting advice from others at any step on the way to a decision can be very helpful. Utilization of the framework will help provide you with a solid decision-making process. The table below provides a quick summary of this framework.

<table>
<thead>
<tr>
<th>STEP 1</th>
<th>IDENTIFY THE PROBLEM</th>
<th>Identify in a preliminary way the nature of the challenge or problem.</th>
</tr>
</thead>
<tbody>
<tr>
<td>STEP 2</td>
<td>GATHER INFORMATION</td>
<td>Become suitably informed and gather information relevant to the challenge or problem.</td>
</tr>
<tr>
<td>STEP 3</td>
<td>CLARIFY THE PROBLEM</td>
<td>Clarify and elaborate the challenge or problem after gathering this information.</td>
</tr>
<tr>
<td>STEP 4</td>
<td>IDENTIFY OPTIONS</td>
<td>Identify various options for actions recognizing that the best option may not be obvious at first and realizing it may require creativity or imagination.</td>
</tr>
<tr>
<td>STEP 5</td>
<td>ASSESS OPTIONS</td>
<td>Assess the various options in light of applicable policy, law, or regulation, being as clear as possible in your mind of the pluses and minuses of each option as assessed in this light.</td>
</tr>
<tr>
<td>STEP 6</td>
<td>DECIDE ON A COURSE OF ACTION</td>
<td>Decide on a course of action mindful of how you would justify or defend your decision in light of applicable policy, law, or regulation if you are called to account.</td>
</tr>
<tr>
<td>STEP 7</td>
<td>IMPLEMENT THE ACTION</td>
<td>Implement your decision as thoughtfully and sensitively as possible, communicate a willingness to explain or justify the reasons for taking it.</td>
</tr>
<tr>
<td>STEP 8</td>
<td>ASSESS AND EVALUATE</td>
<td>Assess the consequences of your decision and evaluate the process you used to arrive at that decision and the decision itself in light of those consequences.</td>
</tr>
</tbody>
</table>
1. **Identify in a preliminary way the nature of the challenge or problem.**

What is the issue?

What kind of challenge is it?

Which of the six fundamental ethical principles may be at stake?

Beneficence, Veracity and Integrity, Autonomy and Informed Choice, Privacy and Confidentiality, Accountability, Professionalism (definitions on page 2)

2. **Become suitably informed and gather information** (e.g., talk to others to determine facts, research pertinent policy statements) **relevant to the challenge or problem, including:**

<table>
<thead>
<tr>
<th>Factual information about the situation</th>
<th>What has happened and what is the sequence of events?</th>
<th>What factual information is missing?</th>
</tr>
</thead>
<tbody>
<tr>
<td>Applicable policy, law or regulation</td>
<td>Does workplace policy address the issue?</td>
<td>What does the Code of Ethics say? What do Practice Standards say?</td>
</tr>
<tr>
<td>Who are the relevant stakeholders?</td>
<td>Who has an interest in or will be affected by the problem?</td>
<td>What is their view of the situation?</td>
</tr>
</tbody>
</table>

3. **Clarify and elaborate the challenge or problem after gathering this information.**

Now that you are better informed, has your view of the issue changed?

How do the ethical principles and ethical statements apply to this issue? Be specific.

Who needs to be consulted or involved in resolving the challenge or problem?

4. **Identify various options for actions recognizing that the best option may not be obvious at first and realizing it may require creativity or imagination. Assess the various options in light of applicable policy, law, or regulation, being as clear as possible in your mind of the pluses and minuses of each option as assessed in this light.**

<table>
<thead>
<tr>
<th>Option A ___________________</th>
<th>Option B ___________________</th>
<th>Option C ___________________</th>
</tr>
</thead>
<tbody>
<tr>
<td>Why favourable?</td>
<td>Why favourable?</td>
<td>Why favourable?</td>
</tr>
<tr>
<td>Why unfavourable?</td>
<td>Why unfavourable?</td>
<td>Why unfavourable?</td>
</tr>
</tbody>
</table>

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1 Stakeholder: A person, group or organization that has interest or concern in an organization.
Which option/alternative would you personally prefer if you were in the same situation and why?

From the client’s perspective, what option ought to be chosen?

5. **Decide on a course of action mindful of how you would justify or defend your decision in light of applicable policy, law, or regulation if you are called to account.**

6. **Implement your decision as thoughtfully and sensitively as possible, communicate a willingness to explain or justify the reasons for taking it.**

7. **Assess the consequences of your decision and evaluate the process you used to arrive at that decision and the decision itself in light of those consequences.**

<table>
<thead>
<tr>
<th>Did things turn out as you thought they would?</th>
</tr>
</thead>
<tbody>
<tr>
<td>Would you do the same thing again?</td>
</tr>
<tr>
<td>What went wrong, or what went right?</td>
</tr>
<tr>
<td>Is it necessary to revise existing workplace policies or add in new policies and guidelines for subsequent situations or decisions?</td>
</tr>
</tbody>
</table>

In all of this, bear in mind that reasonable people can disagree about what the right thing to do is when faced with an ethical challenge or problem. If a person is involved in the decision-making process but disagrees with the decision, he/she has an obligation to support the outcome and not sabotage the process.

If you cannot be certain whether you have made the right decision, you can at least have some greater certainty that you have arrived at your decision in a responsible way. The test for this is whether you are able to defend your decision in light of relevant laws, principles, and regulations and defend the process by which you came to your decision.

To further assist you in your decision-making process, accessing resources on ethics can be beneficial. These resources include rich literature available in libraries and on web-site publications as well as professional resource individuals such as bioethicists and educators. In addition to aiding you when considering ethical challenges and problems in dental hygiene, these resources are excellent sources for professional education and development.
Glossary of Terms

Advertising: “Advertisement,” “advertising,” “advertise” or “advertises” means any publication, or communication made orally, in print, or through social networks or other electronic media by, about or on behalf of a dental hygienist, dental hygiene practice, clinic or group, in the public in general, or even to one or more individuals, that has as its purpose, or results in, the promotion of a dental hygienist or a dental hygiene practice, clinic or group.

Capacity: The cognitive capacity to understand and process relevant information.

Client: Refers to an individual, family, group, community, or organization accessing the professional services of a dental hygienist.

Dental Hygiene Services: Dental hygiene services include the assessment, diagnosis and treatment of oral health conditions through therapeutic, educational, and preventive dental hygiene procedures and strategies that promote wellness. These procedures and strategies include restricted activities authorized by the Dental Hygienists Profession Regulation. Dental hygiene services are provided to individual clients or communities by Alberta dental hygienists in their roles as clinicians, educators, researchers, administrators, health promoters, and consultants.

Ethical Challenges: Problems faced by practicing dental hygienists that tend to fall into three broad categories: ethical violations, ethical dilemmas and ethical distress.

Ethical Dilemmas: Occur when ethical reasons both for and against a particular course of action are present, and one option must be selected. Ethical dilemmas also arise when there is conflict amongst two or more principles.

Ethical Distress: Occurs when dental hygienist experience constraints or limitations in relation to which they are or feel powerless and which compromise their ability to practice in full accordance with their professional principles and standards. Ethical distress often arises in situations where the dental hygienist is significantly limited by factors beyond his or her immediate control that may not be resolvable in the specific context.

Ethical Violation: Occurs when a dental hygienist fail to meet or neglect their specific ethical responsibilities as expressed in the Code of Ethics.

Informed Choice: Critical elements of informed choice include disclosure (e.g. revealing pertinent information, including risks and benefits); voluntariness (e.g. the choice is not coerced or manipulated); and capacity.

Informed Consent: The client has been provided with information about the proposed treatment, including material effects and costs, significant risks and side effects of the proposed treatment, alternative treatments and the consequences of not having the treatment. You must also answer the client’s questions. If the client is a minor or lacks the capacity to make a decision, consent must be obtained from the client’s agent. You may wish to consider the additional legal protection of a written consent form.
Mature Minor: A “mature minor” is able to consent to his or her own medical treatment and to decide who has access to his or her medical information. A mature minor is a person under 18 who can understand the nature and consequences of the treatment and the decision whether to allow access to the information.

Minor: In Alberta, a minor is defined as any person under the age of 18 years.

Objectively Verifiable: Something is objectively verifiable if it can be proved by facts that are independent of personal feelings, beliefs, opinions or interpretations. Information that is evidence based or contained in peer reviewed articles from reputable, independent scientific publications may be objectively verifiable. Generally speaking, however, claims about the quality of dental hygiene services cannot be objectively verified. Furthermore, most adjectives are inherently subjective and are not objectively verifiable.

Profession of Dental Hygiene: Dental hygiene is a health profession involving theory and evidence-based practice. Dental hygiene theory and practice draw on biomedical, social, and behavioural sciences, and the body of dental hygiene knowledge. The practice of dental hygiene involves collaboration with clients, other health professionals, and society to achieve and maintain optimal oral health, an integral part of well-being.

Trade Names: Names or designations used by companies to identify themselves and distinguish their businesses from others in the same field.
References

Main Resources Used
Canadian Dental Hygienists Association. (June 2012). *Code of Ethics*. Ottawa: CDHA.

College of Registered Dental Hygienists of Alberta. (June 2007). *CRDHA Code of Ethics*. Edmonton: CRDHA.


Additional Resources Used


American Dental Hygienists Association. (June 2014). *Code of Ethics for Dental Hygienists*. Chicago: ADHA.


Canadian Dental Hygiene Association. (Spring 2004). *Oh Canada, Talking Ethics*. Ottawa: CDHA.


